

**STATEMENT OF PRINCIPLES**  
**ON**  
**COLLABORATION ON SUSTAINABLE GROWTH**  
**UNDER THE INDO-U.S. ENERGY DIALOGUE**

**Scope & Purpose**

The Government of India (GOI), represented by the National Institution for Transforming India (hereinafter referred to as the “NITI Aayog”), and the Government of the United States of America, represented by the United States Agency for International Development (hereinafter referred to as “USAID”), dedicate themselves to the pursuit of cooperation in the area of low-carbon inclusive growth under the Indo-U.S. Energy Dialogue in accordance with this Statement of Principles (hereinafter “Statement”).

The purpose of this Statement is to provide a framework for joint cooperation to advance Indian and U.S. capacity to develop long-term plans and strategies for low carbon inclusive growth through the exchange of knowledge and technical expertise.

**Cooperative Activities**

The Government of India and the U.S. Government (hereinafter referred to as the “participants”) have established a Joint Working Group on Sustainable Growth under the bilateral Indo-U.S. Energy Dialogue. The participants further expect that the Joint Working Group will involve experts from government (relevant GOI ministries and U.S. Government agencies), private industry, academia and other non-governmental organizations. The Terms of Reference for the Joint Working Group is attached as Annex-I.

The participants agree to work together to advance the cooperation envisioned under this Joint Working Group. The participants intend to prioritize the actions they undertake based upon their positive impact on advancing Indian and U.S. capacity to develop long-term plans and strategies for low carbon inclusive growth. Areas of cooperation may include: (a) methodological approaches, (b) analytical tools, (c) data and data management systems, (d) best practices and (e) options for the development and adoption of clean technologies (hereinafter referred to as “broad areas of cooperation”). The

participants also expect to explore detailed implementation strategies, including financing plans and mechanisms to mobilize private investment in low carbon growth.

The participants intend to develop annual work plans that prioritize activities in each of the broad areas of cooperation listed above.

The participants expect collaboration to be conducted through workshops, trainings, discussions, technical exchanges, technical task forces, joint studies and specific projects.

The participants intend for collaboration to build on cooperation under the existing U.S.-India Energy Dialogue Working Groups, the Partnership to Advance Clean Energy (PACE), and the Partnership Agreement for the Sustainable Forests and Climate Adaptation Project.

### **Roles and Responsibilities**

The NITI Aayog intends to identify Indian entities to participate in activities under this Statement and also coordinate their participation. These entities could include GOI ministries and agencies, private sector entities, and non-governmental organizations funded by the NITI Aayog to carry out activities envisioned under this Statement.

The NITI Aayog also intends to cover the costs of experts from Indian organizations participating in the workshops, trainings, discussions, technical exchanges, technical task forces, joint studies and specific projects. The NITI Aayog expects to cover the cost of arranging and holding such events in India.

USAID intends to identify U.S. entities to participate in activities pursuant to this Statement and also coordinate their participation. These entities could include U.S. Government agencies, private sector entities, and non-governmental organizations funded by the USAID to carry out activities envisioned under this Statement.

USAID also intends to cover the costs of experts from U.S. entities participating in workshops, training, discussions, technical exchanges, technical task forces, joint studies and specific projects. USAID expects to cover the costs of arranging and holding such events in the United States.

### **General Provisions**

This Statement does not provide or give rise to legal obligations or rights under domestic or international law. This Statement is not a commitment which gives rise to obligations




of one government to the other or to third parties. The work anticipated by this Statement is subject to the availability of staff, resources, funds and other relevant agreements.

This Statement may be modified in writing by concurrence of the NITI Aayog and USAID. Either participant may end its participation in this Statement by notifying the other in writing and should endeavor to provide at least (30) days notice.

This Statement becomes operative upon signature and will continue until September 30, 2017, unless ended earlier, as provided above. This Statement may be extended through a written exchange between the participants.

THE NATIONAL INSTITUTION FOR  
TRANSFORMING INDIA

UNITED STATES AGENCY FOR  
INTERNATIONAL DEVELOPMENT

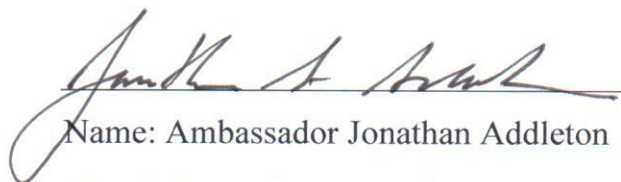


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Name: Anil Kumar Jain

Title: Adviser-Energy

Date: \_\_\_\_\_



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Name: Ambassador Jonathan Addleton

Title: Mission Director to India

Date: August 10, 2016

Annex1: Sustainable Growth Working Group Terms of Reference

## Annex I

### **Indo-U.S. Energy Dialogue Sustainable Growth Working Group Terms of Reference**

**Purpose :** The purpose of the Sustainable Growth Working Group is to enhance Indian and U.S. capacity to develop long-term plans and strategies to achieve low carbon inclusive growth, including collaborating in the development and deployment of low carbon and environmentally friendly technologies and exploring options for the development and adoption of clean technologies in the respective economies.

**Objective:** This goal will be achieved by collaborating and sharing ideas, experiences, and knowledge to increase understanding on a range of issues including: methodological approaches, analytical tools, data and data management systems and best practices, that can enhance long-term plans and strategies to achieve low carbon, inclusive growth and help develop cutting edge green technologies that have the potential to contribute to low carbon growth. Collaboration will also explore implementation strategies for innovative mechanisms to mobilize private investment in low carbon growth as well as promotion and incentivization of development and deployment of environmentally friendly technologies by the private sector. It is also expected that both sides will share experiences and best practices to enable the rapid transition to low carbon technologies in key sectors, such as energy and transportation, as well as approaches that could scale up the adoption and deployment of environmentally friendly technologies.

**General Approach:** The Sustainable Growth Working Group will involve experts from government (all relevant Indian Government (GOI) ministries and US Government agencies), private industry, academia and other non-government organizations (e.g., think tanks). Collaboration will focus on priority actions to enable low carbon growth and technology development and transfer, including those identified in the GOI's 12<sup>th</sup> Five Year Plan, the actions that increase understanding of cross-cutting areas essential for planning and achieving long-term, low-carbon, inclusive growth.

These cross-cutting areas may include, inter alia, the enabling environment for low carbon growth; economic and environmental data (data systems and data quality); economic and emissions modeling (economy-wide, sector specific, national and regional); climate change economics and investment analysis; private capital investment

needs; innovative financing options for low carbon growth; and potential for collaboration in the joint development and deployment of green technologies.

Collaboration will be conducted through workshops, training, discussions, technical exchanges, technical task forces, joint studies and specific projects.

The Sustainable Growth Working Group will meet bi-annually to review progress and develop work plans that prioritize activities and inform the allocation of resources.

Collaboration will build on cooperation under the existing U.S. India Energy Dialogue Working Groups, the Partnership to Advance Clean Energy (PACE) as well as the Sustainable Forests and Climate Adaptation Project.

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